

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Immanuel Straub

Application No.: Not yet assigned

Group Art Unit: Not yet assigned

Filing Date: Herewith

Examiner: Not yet assigned

For: Catheter for aspirating, fragmenting and  
removing material

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

☒ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

☐ a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; or

☐ a statement below under 37 C.F.R. § 1.97(e).

IAP9 Rec'd PCT/PTO 30 AUG 2006

Application No.:

Attorney Docket No.: 516/12

☐ By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) after the mailing date of a Final Action, a Notice of Allowance, or close of prosecution, but before payment of the issue fee. Accordingly included herewith are:

- ☐ a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; and
- ☐ a statement below under 37 C.F.R. § 1.97(e).

**Statement Pursuant to 37 C.F.R. § 1.97(e):**

☐ By checking this paragraph, the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or

☐ By checking this paragraph, the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: August 30, 2006

Respectfully submitted,

By



Kevin M. Curran, Reg. No. 43,571

KAPLAN GILMAN GIBSON & DERNIER, L.L.P.

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Attorneys for Applicant

10/591100  
IAP9 Rec'd PCT/PTO 30 AUG 2006 10591100 : GAU: 4185

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<p>Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid title control number.</p> <p>Substitute for form 1449/PTO</p> <p style="text-align: center; font-weight: bold; font-size: 1.2em;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: center; font-style: italic;">(Use as many sheets as necessary)</p>		<p style="text-align: center; font-weight: bold;">Complete if Known</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>Not yet assigned</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Immanuel Straub</td> </tr> <tr> <td>Art Unit</td> <td>Not yet assigned</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet assigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>516/12</td> </tr> </table>		Application Number	Not yet assigned	Filing Date	Herewith	First Named Inventor	Immanuel Straub	Art Unit	Not yet assigned	Examiner Name	Not yet assigned	Attorney Docket Number	516/12
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	BA	EP0267539	04-07-1993	Auth		
	BB	EP0310285	04-05-1989	Thimsen et al.		
	BC	EP0448859A2	10-02-1991	Shiber		
	BD	EP0448859A3	05-27-1992	Shiber		
	BE	EP0669106A2	08-30-1995	Simpson		
	BF	EP0669106B1	02-17-1999	Simpson		

Examiner Signature	/David Eastwood/	Date Considered	11/13/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Sheet	2	of	3
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Application Number	Not yet assigned
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First Named Inventor	Immanuel Straub
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## U. S. PATENT DOCUMENTS

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		Country Code <sup>2</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
	BG	EP0680730A2	11-08-1995	Shiber		
	BH	EP0680730A3	01-24-1996	Shiber		
	BI	EP0739603A1	10-30-1996	Jang et al.		
	BJ	EP0739603B1	08-22-2001	Jang et al.		
	BK	WO 02/49690A2	06-27-2002	Patel et al.		
	BL	WO 02/49690A3	06-27-2002	Patel et al.		

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PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	3	of	3
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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